

# SYSTEMATIC TRANSFER PLAN (STP) (Registration / Cancellation form)

Application No.  

Distributor Name & ARN No.	Sub-Broker Code	Employee Unique Identification No.*	RIA Name & RIA Code*	Date & Time of Receipt
67723				

\*Purpose of EUIN is to capture the identification of the sales person/employee/relationship manager of the distributor interacting with the investor, irrespective of whether the transaction is "Execution only" or "Advisory". However, in case of any exceptional cases where there is no such interaction, the investor can keep EUIN box blank and sign the following declaration;  
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.  
#I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

First Unitholder/ Guardian/ POA	Second Unitholder	Third Unitholder
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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

Please (✓) any one.  **NEW REGISTRATION**  **CANCELLATION**

Folio No. of 'Transferor' Scheme (for existing Unit holder) / Application No. (for new investor)  

Name of the Applicant	PAN / PEKRN Details	KYC is mandatory# Please (✓)
Name of First/Sole Applicant	PAN# or PEKRN# <span style="border: 1px solid black; padding: 2px 10px;"> </span>	<input type="checkbox"/>
Name of Guardian in case First/Sole Applicant is a minor	PAN# or PEKRN# <span style="border: 1px solid black; padding: 2px 10px;"> </span>	<input type="checkbox"/>
Name of Second Applicant	PAN# or PEKRN# <span style="border: 1px solid black; padding: 2px 10px;"> </span>	<input type="checkbox"/>
Name of Third Applicant	PAN# or PEKRN# <span style="border: 1px solid black; padding: 2px 10px;"> </span>	<input type="checkbox"/>

**STP Out Scheme** (Investors applying under Direct Plan must mention "Direct" against the Scheme name).

Scheme   Plan   Option  

**STP In Scheme** (Investors applying under Direct Plan must mention "Direct" against the Scheme name).

Scheme   Plan   Option  

**For Systematic Transfer Plan (STP) - Fixed Amount Option**

Amount of Transfer per Installment (Minimum Rs 1,000/-): Rs.  

<input type="checkbox"/> Daily	No. of Installments <span style="border: 1px solid black; padding: 2px 10px;"> </span>
<input type="checkbox"/> Weekly [Day of Transfer (Please ✓ any one)] <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday <input type="checkbox"/> Thursday* <input type="checkbox"/> Friday	No. of Installments <span style="border: 1px solid black; padding: 2px 10px;"> </span>
<input type="checkbox"/> Monthly <span style="border: 1px solid black; padding: 2px 10px;"> </span> of every month (1st to 28th) (Default is 7th)	Enrolment Period From: <span style="border: 1px solid black; padding: 2px 10px;"> </span> To: <span style="border: 1px solid black; padding: 2px 10px;"> </span>
<input type="checkbox"/> Fortnightly [Day of Transfer (Please ✓ any one)] <input type="checkbox"/> 1st & 14th OR <input type="checkbox"/> 7th & 21st (Default is 1st & 14th)	Enrolment Period From: <span style="border: 1px solid black; padding: 2px 10px;"> </span> To: <span style="border: 1px solid black; padding: 2px 10px;"> </span>

**For Systematic Transfer Plan (STP) - Capital Appreciation Option**

<input type="checkbox"/> Monthly Date of Transfer (Please ✓ any one. No other date can be specified.) <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st	Enrolment Period From: <span style="border: 1px solid black; padding: 2px 10px;"> </span> To: <span style="border: 1px solid black; padding: 2px 10px;"> </span>
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**Signatures**

**Declaration:** I/ We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms & conditions mentioned overleaf of Systematic Transfer Plan (STP) and the relevant Scheme(s) and hereby apply to the Trustees for enrolment under the STP in the following Scheme(s)/Plan(s)/Options(s). The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

First Unitholder/ Guardian/ POA	Second Unitholder	Third Unitholder
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**asset**  
**360**  
**ONE**  
**ACKNOWLEDGMENT SLIP**  
(To be filled in by the Applicant)

ARN No:  

Application No.  

Received from   'STP' application for transfer of Units;

From Scheme/ Plan/ Option  

To Scheme/ Plan/ Option  

**Signature, Stamp & Date**

## GENERAL INSTRUCTION

1. Unitholders are advised to read the Scheme information Document of the respective Scheme(s) i.e. Transferor and Transferee and Statement of Additional Information carefully.
2. Please indicate the commencement date in your STP request. In case of Fixed amount Transfer the commencement date is the date on which your Systematic Transfer starts. In case of Appreciation Transfer the commencement date is the base date from which your appreciation gets computed. Your first Transfer in this case would happen after a month from the commencement date.
3. Unitholders must use separate enrolment forms for different Schemes/Plans/Options.
4. Investors are required to submit the request form at least 5 days before the first STP installment date for STP Registration.
5. The STP facility is available only for units held / to be held in Non-Demat mode.
6. The investor can choose to opt out from the STP at any point of time by submitting a written request at least 5 days prior to the next due date of STP. On receipt of such a request, the STP will be discontinued for the respective scheme(s).
7. Incorrect, incomplete, ambiguous forms are liable to be rejected.

## SYSTEMATIC TRANSFER PLAN

1. This facility enables the unitholders to switch an amount from their existing investments in a Scheme/Option of the Fund, which is available for investment at that time at periodic intervals through a one time request.
2. The switch can be made either daily, weekly, fortnightly or monthly. Under this facility the switch by the unit holders should be within the same account/folio number.
3. The unitholder has to fulfill the following criteria in order to avail of the Systematic Transfer Plan :
  - (a) A Unit Holder has to have a minimum balance of Rs.10,000/- in a scheme. (b) the minimum amount as stated in the offer document of the respective transferor scheme, whichever is higher. © A minimum of 6 such transfers has to be submitted for the STP.
4. The transfer will be effected by way of a switch, i.e. redemption of Units from one Scheme and investment of the proceeds thereof, in the other Scheme at the then prevailing terms of both Scheme.
5. All transactions by way of STP shall, however, be subject to the terms (other than minimum application amount) of the target Scheme.
6. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.
7. If STP Frequency is not mentioned the default frequency is monthly.
8. A Unit Holder who opts for an STP has the choice of switching (i) a fixed amount or (ii) an amount equal to the periodic appreciation on his/her/its investment in the Scheme from which the transfer is sought, as detailed below:

### FIXED AMOUNT OPTION:

1. Under this alternative, a Unit Holder may switch a fixed amount of minimum Rs 1,000/- and multiples of Rs 1/- thereafter, (multiples of 500 in case, in scheme is 360 ONE ELSS NIFTY 50 TAX Saver Index fund) per transaction and the 'STP Date' for the switch will be as under:
  - (a) where a weekly STP is opted for, the STP day shall be Monday, Tuesday, Wednesday, Thursday, or Friday of a week, for the period concerned. Tuesday being the default day.
  - (b) In case of Fortnightly STP, the investor needs to select either dates of 1st & 14th of the month or 7th & 21st of the month. 1st & 14th being the default days.
  - (c) where a monthly STP is opted for, the STP date shall be between 1st and 28th (1st and 28th included), of the month concerned. 7th being the default date.
  - (d) Under the Daily frequency for STP, the investors can transfer a fixed specified amount from any scheme of 360 ONE Mutual Fund (Formerly known as IIFL Mutual Fund) to any other scheme of 360 ONE Mutual Fund in existence at the time of availing the facility of STP.
2. The Units in the Scheme/Option from which the switch – out is sought will be redeemed at the Applicable NAV of the Scheme/Option on the respective dates on which such switches are sought and the new Units in the Scheme/Option to which the switch – in is sought will be created at the Applicable NAV of such Scheme/Option on the respective dates.
3. In case the day on which the transfer is sought is a non business day for the Scheme, the same will be processed on the immediately following business day.

### CAPITAL APPRECIATION OPTION:

1. Under this option, the Unitholder can seek switch of an amount equal to the periodic appreciation on the investment.
2. This facility is available only under monthly frequency.
3. Under this option the Unit holder switches only proportionate number of Units, which when multiplied by the applicable NAV is, in amount terms equal to the appreciation in the investment over the last month.
4. The investor has to mention a "Start Date". The 'STP Date' available under this alternative are 1st, 7th, 14th or 21st of the month (7th being the default date). The first switch will happen after one month from the start date.
5. In case the investor purchases additional Units, the amount to be switched would be equal to the appreciation generated on such Units, provided the appreciation is at least Rs. 1,000/..
6. In the absence of any appreciation or appreciation less than Rs. 1,000/- as mentioned above, the switch under this option will not be made for that month.
7. The Units in the Scheme/ Option from which the switch – out is sought will be redeemed at the Applicable NAV of the Scheme/Option on the respective dates on which such switches are sought and the new Units in the Scheme/ Option to which the switch – in is sought will be allotted at the Applicable NAV of such Scheme/Option on the respective dates.
8. In case the day on which the transfer is sought is a non-business day for the Scheme, the same will be processed on the immediately following business day.