

# COMMON APPLICATION FORM FOR LUMPSUM

Application No. \_\_\_\_\_



ARN- Distrib <b>67723</b> PMRN Code#	ARN- Sub-Distributor Code	E EUN No.	Internal Code for Sub-broker/ Employee
--------------------------------------	---------------------------	-----------	--

#By mentioning RIA/ PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Bandhan Mutual Fund.  
 Declaration for "execution-only" transaction (only where EUN box is left blank) (Refer Instruction No. XIII). - I/We hereby confirm that the EUN box has been intentionally left blank by me/ us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of First / Sole Applicant / Guardian / Authorised Signatory

**TRANSACTION CHARGES**  (Please ✓ any one of the below) (Refer Instruction No. S)

I am a first time investor in mutual funds ( ₹ 150 will be deducted) OR I am an existing investor in mutual funds ( ₹ 100 will be deducted)  
 Applicable for transactions routed through a distributor who has 'opted in' for transaction charges. Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investors' assessment of various factors including service rendered by the distributor.

**EXISTING FOLIO NO.** \_\_\_\_\_ **MODE OF HOLDING / OPERATION**  Single  Anyone or Survivor  Joint (Default option is anyone or survivor)

**4 APPLICANT'S DETAILS** (Please refer to the instruction No. A, C, D, R) All fields are mandatory. Gender Male Female

**1st APPLICANT** Mr Ms M/s \_\_\_\_\_ Date of Birth\*\* D D M M Y Y  
 PAN/PEKR\* \_\_\_\_\_ KIN^ Proof Attached \_\_\_\_\_

**GUARDIAN NAME IF MINOR/CONTACT PERSON (FOR NON INDIVIDUALS) /POA HOLDER** Mr Ms \_\_\_\_\_ Date of Birth D D M M Y Y  
 PAN/PEKR\* \_\_\_\_\_ KIN^ Proof Attached \_\_\_\_\_  
 Relationship with Minor applicant Natural guardian Court appointed guardian

**2nd APPLICANT** Mr Ms \_\_\_\_\_ Date of Birth\*\* D D M M Y Y  
 PAN/PEKR\* \_\_\_\_\_ KIN^ Proof Attached \_\_\_\_\_

**3rd APPLICANT** Mr Ms \_\_\_\_\_ Date of Birth\*\* D D M M Y Y  
 PAN/PEKR\* \_\_\_\_\_ KIN^ Proof Attached \_\_\_\_\_

\*Mandatory information - If left blank, the application is liable to be rejected. \*\* Mandatory in case the Sole/First applicant is minor. ^ Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN).

**5 CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT (AS PER KYC RECORDS)**

**Correspondence Address** HOUSE / FLAT NO. \_\_\_\_\_ STREET ADDRESS \_\_\_\_\_ CITY / TOWN \_\_\_\_\_ STATE \_\_\_\_\_ COUNTRY \_\_\_\_\_ PIN CODE \_\_\_\_\_  
**Overseas Address (Mandatory for NRI / FII Applicants)** HOUSE / FLAT NO. \_\_\_\_\_ STREET ADDRESS \_\_\_\_\_ CITY / TOWN \_\_\_\_\_ STATE \_\_\_\_\_ COUNTRY \_\_\_\_\_ PIN CODE \_\_\_\_\_  
 Tel. No. \_\_\_\_\_ Office \_\_\_\_\_ Residence \_\_\_\_\_ Mobile No. \_\_\_\_\_

**Mobile No belongs to:**  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  PMS  Custodian  POA

Email ID \_\_\_\_\_  
**Email id belongs to:-** Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA (Please refer Instruction No. Z and ✓)

All communications will be sent by default to the registered E-mail ID / Mobile No. In case you wish to receive physical communication (please  here)  
 If you wish to receive Annual Report or Abridged Summary via Post (Applicable only if email id is not available) (Please  here) (Refer instruction Z)  
 Third Holder Contact details Mobile No. \_\_\_\_\_ Email ID \_\_\_\_\_

Resident Individual  Foreign National  Public Limited Company  Government Body  AOP/BOI  Defence Establishment

**6 TAX STATUS (Please )**  
 HUF  Partnership Firm  Body Corporate  FII  Non Profit Organization/Charities  
 NRI  LLP  Bank  Foreign Portfolio Investor  QFI

**6 DEMAT ACCOUNT DETAILS (OPTIONAL)** (Applicable ONLY for investors who are willing to hold their investment in DEMAT form)

NSDL: Depository Participant (DP) ID (NSDL only) \_\_\_\_\_ Beneficiary Account Number (NSDL only) \_\_\_\_\_ CDSL: Depository Participant (DP) ID (CDSL only) \_\_\_\_\_

**7 BANK DETAILS (Mandatory)**

Mandatory information - If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

Account Number \_\_\_\_\_ Account Type  Current  Savings  NRO  NRE  FCNR  Others (please specify) \_\_\_\_\_  
 Bank Name & Branch \_\_\_\_\_  
 Branch City \_\_\_\_\_ IFSC Code \_\_\_\_\_ MICR Code \_\_\_\_\_



**FATCA AND CRS DETAILS FOR INDIVIDUALS (including Sole Proprietor) (Mandatory)**

Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II). The below information is required for all applicants / guardian

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality		
First Applicant / Guardian			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others <small>Please specify</small>
Second Applicant			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others <small>Please specify</small>
Third Applicant			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others <small>Please specify</small>

**Are you a tax resident (i.e. are you assessed for tax) in any other country outside India?**  YES  NO (please tick ✓)  
 If "YES" please fill for ALL countries (other than India in which you are a Resident for tax purpose i.e. where you are a Citizen/ Resident/ Green Card holder/ Tax Resident in the respective countries.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Second Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Third Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents.  
 Reason B → No TIN required (Select this reasons Only if the authorities of the country of tax residence do not require the TIN to be collected)  Reason C → Others please state the reasons thereof :

Address Type of Sole /1st Holder	Address Type of 2nd Holder	Address Type of 3rd Holder
<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business

Annexure I and Annexure II are available on the website of AMC i.e. www.bandhanmutual.com or at the Investor Service centres (ISCs) of Bandhan Mutual Fund

**INVESTMENT & PAYMENT DETAILS (Please refer to the Instruction No. E & J) (Please refer SID for Plans and Sub-options)**

Scheme **Bandhan** Plan  Option   
 Mode of payment  Self  Third Party Payment (Please fill the 'Third Party Payment Declaration Form') Payment mode  Cheque  DD  Bandhan OTM  Fund Transfer  RTGS/NEFT  
 Amount (figures)  Cheque/DD/UTR/UMR No.  Cheque Date   
 Account No.  Account Type  Saving  Current  NRO  NRE  FCNR  Others Please specify  
 Bank & Branch Name

**NOMINATION DETAILS** Mandatory section for Individuals (Single or Joint)  I/We wish to nominate  I/We do not wish to nominate<sup>SS</sup>

Nominee Name & Address	Relationship with Investor	In case of Minor (Birth proof to be attached)			Allocation %
		Guardian Name	Relationship with the minor	Date of birth	
Nominee 1					
Nominee 2					
Nominee 3					

Other Details (Guardian details to be furnished in case nominee is a minor)

Nominee	PAN	Mobile	Email ID	Nominee/ Guardian sign
Nominee 1				
Nominee 2				
Nominee 3				

<sup>SS</sup> OPT-OUT: I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in my / our folio.

Sign Here →  First / Sole Applicant / Guardian  Second Applicant  Third Applicant

**KYC DETAILS (Mandatory)**

**OCCUPATION [Please tick (✓)]**

	Private Sector Service	Public Sector Service	Government Service	Business	Professional	Agriculturist	Retired	Housewife	Student	Forex Dealer	Others
First Applicant / Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify
Second Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify
Third Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please specify

**GROSS ANNUAL INCOME [Please tick (✓)]**

First Applicant / Guardian	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore
	OR Net worth (Mandatory for Non-Individuals) ` <input type="text"/> as on <input type="text"/> as on (Not older than 1 year)
Second Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore OR Net worth ` <input type="text"/>
Third Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore OR Net worth ` <input type="text"/>

**OTHERS [Please tick (✓)]**

First Applicant / Guardian	For Individuals Please tick (✓) <input type="checkbox"/> I am Politically Exposed Person (PEP) <sup>A</sup> <input type="checkbox"/> I am Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable For Non-Individuals Please tick (✓) (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. IV(h)); (i) Foreign Exchange / Money Changer Services <input type="checkbox"/> Y <input type="checkbox"/> N (ii) Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Y <input type="checkbox"/> N (iii) Money Lending / Pawning <input type="checkbox"/> Y <input type="checkbox"/> N
Second Applicant	<input type="checkbox"/> Politically Exposed Person (PEP) <sup>A</sup> <input type="checkbox"/> Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable
Third Applicant	<input type="checkbox"/> Politically Exposed Person (PEP) <sup>A</sup> <input type="checkbox"/> Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Not applicable

**DECLARATION & SIGNATURES (Please refer to the Instruction No. K)**

I/We have read, understood and agree to comply with the terms and conditions of the Statement of Additional Information, Scheme Information Documents and Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act and Common Reporting Standards, statutory requirements prescribed by SEBI, AMFI, Prevention of Money Laundering Act, 2002 (PMLA), Privacy Policy of Bandhan AMC Limited available on the website of Bandhan Mutual Fund www.bandhanmutual.com and all applicable rules and regulations and hereby confirm that I/We have not received nor been induced by any rebate or gifts, directly or indirectly, to make this investment. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs / PIOs / FPIs only: I / We confirm that I am / we are Non Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons as per applicable Regulations or (ii) residents of Canada, and I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines. I/We hereby provide my/our consent to Bandhan AMC Limited for (i) collecting, storing and usage of personal information for the purposes of processing my/our application and providing the services to which I/we have subscribed and for the purposes of meeting legal and regulatory requirements; (ii) receiving updates on promotional material and transaction related communication via mail, telecall, SMS, etc.

Sign Here →  First / Sole Applicant / Guardian / Authorised Signatory  Second Applicant  Third Applicant

Instrument No.	Dated	Amount (Rs.)	Scheme
	<input type="text"/>	<input type="text"/>	<input type="text"/>